*Перевод с русского языка на английский язык*

*Translation from Russian into English*

**Appendix No. 3a**

**to the Regulation on Meeting the Legal Requirements in the Field of International Compliance Control in KEB HNB Bank LLC**

**Self-certification Form**

**(Additional information about the client - legal entity)**

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| Part 1. Identity details of the client - legal entity |
| 1 | Name of the legal entity/ branch |  |
| 2 | Country of registration or business |  |
| 3 | Current legal address **(house/office, street, city, country, postal code)** |  |
| 4 | Correspondence address **(to be filled in if it differs from the registration address)** |  |
| Part 2. Type of the legal entity |
| 1 | Type of the legal entity | □ Financial institution – investment legal entity □ Financial institution – banking institution, custodial institution, specialized insurance institution □ Active non-financial corporation – a corporation whose shares are sold at on-exchange trading, or an affiliate of the above corporation □ Active non-financial corporation – international organization □ Active non-financial corporation – different from the previous ones (for example, a startup or charity) □ Passive non-financial corporation  *(specify the name of the controlling persons)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ *(if the organization is a passive non-financial corporation, the finance manager of a non-participating jurisdiction shall fill in the Controlling Person Self-certification Form (Appendix No. 1))*□ other\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  |
| Part 3. Country/jurisdiction of tax residence |
| 1 | Country/jurisdiction of tax residence (if a person is a tax resident of several countries, please specify) \* |  |
| 2 | Taxpayer Identification Number (INN)/reason for INN absence | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_If INN is not provided, specify the reason: □ country/jurisdiction of tax residence of the account holder does not assign INN □ account holder cannot obtain INN or its equivalent for any other reason (reason) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ □ provision of INN is not mandatory (if the law of your jurisdiction does not require INN data collection) □ other reasons (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  |
| 3 | Registration number (ID) with a foreign tax authority (GIIN, TIN)Date of registration with a foreign tax authority | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  |
| Part 4. Declaration, signature and date, powers (if the form was not signed by the account holder) |
| 1 | All information provided complies with the terms and conditions of the agreement/contract with KEB HNB Bank LLC  | □ YES □ NO |
| 2 | The information provided can be transferred to the national tax authority which can exchange it with a foreign tax authority in accordance with the terms of the interstate agreement on financial accounts information exchange.  |  □ YES □ NO |
| 3 | Information confirming the powers of the account holder/representative.  | □ account holder*□* representative of the account holder\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  *(name, date of issue, validity period, number of the document being the ground for the powers of the client's representative)* |
| 4 | All information provided is accurate and complete. The organization shall inform KEB HNB Bank LLC about any change of circumstances affecting the tax residency status within 7 days from such change. |  □ YES □ NO |

**\* Tax resident of a foreign country** – a person who is recognized as such in accordance with the laws of a foreign country(ies) or territory(ies), or in respect of which there is a reason to believe that it is a tax resident of a foreign country. ***Tax residents of a foreign country do not include*** tax residents of the Russian Federation, except when they are tax residents of the Russian Federation and of a foreign country(ies) simultaneously. Tax residents of a foreign country include tax residents of the United States.

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